

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: The Egg Factory, LLCApplication No./Patent No.: 09/994,860Filed/Issue Date: November 28, 2001Entitled: **METHOD AND APPARATUS FOR REDUCING THE INTENSITY OF HURRICANES
AT SEA BY DEEP-WATER UPWELLING**The Egg Factory, LLC

(Name of Assignee)

a Limited Liability Company

(Type of Assignee: corporation partnership university government agency etc)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____%)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012549, Frame 0715, or a true copy of the original assignment is attached.

OR

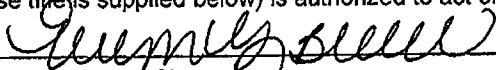
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____ or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee


Signature11/2/07
DateEvelyn Blake

Printed or Typed Name

540-777-6550

Telephone Number

Office of Secretary

Title

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

20350

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number:

20350

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Email		

Assignee Name and Address:

The Egg Factory, LLC

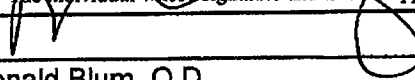
2840 Hersherberger Road, Suite A

Roanoke, VA 24017

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

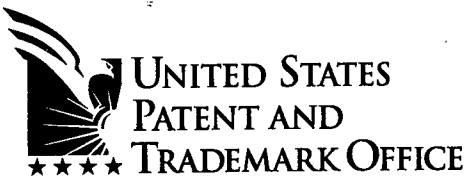
SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	10/19/07
Name	Ronald Blum, O.D.	Telephone	540-777-6022
Title	Chairman & CEO		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



APRIL 04, 2002

PTAS

Chief Information Officer
Washington, DC 20231
www.uspto.gov

KENYON & KENYON
MICHAEL I. ANGERT
1500 K STREET N.W.
SUITE 700
WASHINGTON, DC 20005-1257



101978660A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/05/2002

REEL/FRAME: 012549/0715
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BLUM, RONALD D.

DOC DATE: 01/23/2002

ASSIGNOR:
BLUM, BRADLEY J.

DOC DATE: 01/23/2002

ASSIGNOR:
DUSTON, DWIGHT P.

DOC DATE: 01/28/2002

ASSIGNOR:
HAGERMAN, JR. GEORGE M.

DOC DATE: 01/30/2002

ASSIGNEE:
EGG FACTORY, LLC, THE
2840 HERSHBERGER ROAD
SUITE A
ROANOKE, VIRGINIA 24017

SERIAL NUMBER: 09994860
PATENT NUMBER:

FILING DATE: 11/28/2001
ISSUE DATE:

4/4/02

012549/0715 PAGE 2

MAURICE CARTER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02-11-2002

FORM PTO-1595
(Rev. 03/01)
OMB No. 0651-0027(exp. 5/31/2002)

RECORDATION
PA
FEB 05 2002



101978660

DEPARTMENT OF COMMERCE
Patent and Trademark Office

2.5.02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ronald D. BLUM, Bradley J. BLUM, Dwight P. DUSTON
and George M. HAGERMAN, Jr.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

☐ Other _____

Execution Date: January 23, 2002, January 23, 2002, January
28, 2002 and January 30, 2002, respectively.

2. Name and address of receiving party(ies):

Name: The Egg Factory, LLC
Internal Address: 2840 Hershberger Road, Suite A
Street Address: Roanoke, Virginia 24017

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/994,860

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kenyon & Kenyon
1500 K Street N.W., Suite 700
Washington, DC 20005-1257

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

11-0600

(Attach duplicate copy of this page if paying by deposit account)

02/08/2002 GTON11 00000105 110600 09994860

01 FC:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Michael I. Angert (46,522)

Name of Person Signing (Reg. No.)

Signature

February 5, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

OMB No. 0651-0011 (exp. 4/94)

ASSIGNMENT AND AGREEMENT

For value received, We, Ronald D. BLUM of 5320 Silver Fox Road, Roanoke, Virginia 24014; Bradley J. BLUM of 3002 Rosalind Avenue, Roanoke, Virginia 24014; Dwight P. DUSTON of 59 Fair Lane, Laguna Niguel, California 92677; and George M. HAGERMAN, Jr. of 124 East Rosemont Avenue, Alexandria, Virginia 22301, hereby sell, assign and transfer to The Egg Factory, LLC, a limited liability corporation having an office at 2840 Hershberger Road, Suite A, Roanoke, Virginia 24017, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **METHOD AND APPARATUS FOR REDUCING THE INTENSITY OF HURRICANES AT SEA BY DEEP-WATER UPWELLING** described in an application for Letters Patent of the United States, filed on November 28, 2001, as United States Application Serial Number 09/994,860, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to The Egg Factory, LLC the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

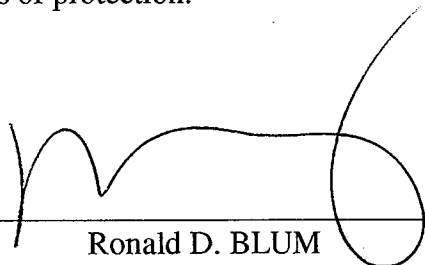
We authorize The Egg Factory, LLC to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of The Egg Factory, LLC to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to The Egg Factory, LLC in the United States and in all countries foreign to the United States, or to such nominees as The Egg Factory, LLC may designate.


We agree that, when requested, we shall, without charge to The Egg Factory, LLC but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Date: 1/23/02



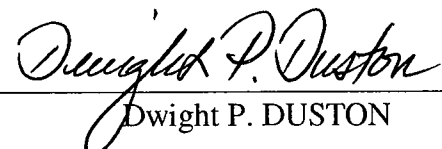
Ronald D. BLUM

Date: 1/23/02



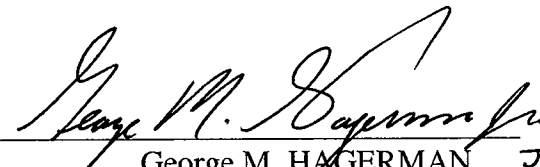
Bradley J. BLUM

Date: 1/28/02



Dwight P. DUSTON

Date: 30 Jan 2002



George M. HAGERMAN, Jr.